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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/046,929	01/15/2002	Patrick W. Mullen	1571.2039-000	3338

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EXAMINER

TSIDULKO, MARK

ART UNIT	PAPER NUMBER
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2875

DATE MAILED: 12/11/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/046,929	Applicant(s) MULLEN, PATRICK W.	
	Examiner Mark Tsidulko	Art Unit 2875	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 16 June 2003.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,7-17,19-23,25 and 26 is/are rejected.
- 7) ☒ Claim(s) 2-6,18,24,27 and 28 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 16 June 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____  |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>11/17/03</u> . | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

The submission of amendment filed on 6/16/03 is acknowledged. At this point claims 1, 19, 23, 25 have been amended, new claim has 28 been added and the remaining claims left unchanged. Thus, claims 1-28 are at issue in the instant application.

#### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims **1, 7, 8, 19, 20, 23, 26** are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee (US 2002/0145860) in view of Kim et al. (US 6,424,396).

Referring to Claim 1 Lee discloses (Fig.4) a backlight that includes a light guide plate having a first and second side, the first side includes a series of optical elements [726] and the second side includes a series of a plateaus (recesses) [721] for enhancing the brightness of the light and base planes (not indicated by numbers).

Lee discloses the instant claimed invention except for stepped plateaus substantially parallel but not coplanar with the base planes.

Kim et al. disclose (Fig.4) the stepped plateaus [22] substantially parallel but not coplanar with the base planes.

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It would have been obvious to one having ordinary skill in the art, at the time the invention was made, to provide the stepped plateaus of Kim et al. for the device of Lee for the purpose of enhancing the brightness of the light.

Referring to Claim 7 Lee discloses (Fig. 3) that linear optical elements include linear prisms that run the width of the sheeting.

Referring to Claim 8 Lee discloses (Fig. 3) that the linear optical elements include linear prisms having triangular prisms arranged side-by-side.

Referring to Claim 19 Lee discloses (Figs. 1, 4):

- a lighting device [714];
- a display panel [600];
- a light guide plate having a first and second side, the first side includes a series of optical elements [726] and the second side includes a series of a plateaus (recesses) [721] for enhancing the brightness of the light and base planes (not indicated by numbers) and the plateaus are not coplanar with the base planes (page 3, [0052], [0054], [0062], [0063]).

Referring to Claims 20, 26 it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

Referring to Claim 23 Lee discloses (Fig.4) a backlight that includes a light guide plate having a first and second side, the first side includes a series of optical elements [726] and the second side includes a series of a plateaus (recesses) [721] for enhancing the brightness of the light and base planes (not indicated by numbers).

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Lee discloses the instant claimed invention except for stepped plateaus substantially parallel but not coplanar with the base planes.

Kim et al. disclose (Fig.4) the stepped plateaus [22] substantially parallel but not coplanar with the base planes.

It has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

It would have been obvious to one having ordinary skill in the art, at the time the invention was made, to provide the stepped plateaus of Kim et al. for the device of Lee for the purpose of enhancing the brightness of the light.

Claims **9, 12-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee and Kim et al. as applied to claim 8 and further in view of Oda et al. (US 2002/0105793).

Referring to Claim **9** Lee discloses the instant claimed invention except for top angle of the prism in a range between about 60 and 120 degrees.

Oda et al. disclose (Fig.10A) the top angle of the prism is 90 degrees (page 5, [0078]).

The prism sheet is positioned on the light guiding plate so that the apexes of the prism projections face in a direction away from the light exit surface of the light guiding plate. In this case, it is preferable that the top angle of the prism element be 90 degrees. Since the apexes of the prism projections face in a direction away from the light exit surface, a diffusion sheet is positioned between the prism sheet and the light guiding plate

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(like in the instant application), to lead the light which exits from the light exit surface to the front of the surface lighting device (page 5, [0078]).

It would have been obvious to one having ordinary skill in the art, at the time the invention was made, to provide the prism elements of Lee with top angle 90 degrees as taught by Oda et al. in order to provide a surface of lighting device with a high brightness.

Referring to Claims 12-15 Lee discloses the instant claimed invention except for top angle of the prism in a range of between about 60 and 85 degrees, 95 and 120 degrees, 88 degrees and 89 degrees.

Oda et al. disclose (Fig. 10A) the top angle of the prism is 90 degrees (page 5, [0078]).

It would have been obvious to one having ordinary skill in the art, at the time the invention was made to provide prism with any angle listed above, since it has been held that where the general condition of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233.

Claims 10, 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee and Kim et al. as applied to claim 9 and further in view of Oda et al. (US 2002/0105793).

Lee discloses the instant claimed invention except for the prisms are scalene isosceles in shape.

Oda et al. disclose (Fig. 10A) the top angle of the prism is 90 degrees (page 5, [0078]).

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It would have been obvious to one having ordinary skill in the art, at the time the invention was that if top angle of triangle is 90 degrees the triangle is scalene isosceles in shape.

Claims **21, 22** are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee and Kim et al. as applied to claim **19** and further in view of Oda et al. (US 2002/0105793).

Lee discloses the instant claimed invention except for top angle of the prism in a range of between about 60 and 85 degrees, 95 and 120 degrees, 88 degrees and 89 degrees.

Oda et al. disclose (Fig. 10A) the top angle of the prism is 90 degrees (page 5, [0078]).

It would have been obvious to one having ordinary skill in the art, at the time the invention was made to provide prism with any angle listed above, since it has been held that where the general condition of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233.

Claims **16, 17** are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee and Kim et al. as applied to claim **1** above, and further in view of Beeson et al. (US 5,396,350).

Lee and Oda et al. disclose the instant claimed invention except for the linear optical elements are pitched at regular intervals and include lenticular linear elements.

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Beeson et al. disclose (Figs.8, 10) the linear optical elements are pitched at regular intervals and include lenticular linear elements (micro lenses) [80]. Collimated light rays further collimated by micro lenses [80].

It would have been obvious to one having ordinary skill in the art, at the time the invention was made, to provide the linear optical elements are pitched at regular intervals and include lenticular linear elements as taught by Beeson et al. for device of Lee and Oda et al. in order to provide a more substantially collimated light source.

Claim 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lee. This reference discloses structure of the device but do not disclose a method of forming a light collimating film.

It would have been obvious to one having ordinary skill in the art, at the time the invention was made to take the steps of apparatus above and provide a method of forming since a prior art of record teaches or suggests a light collimating film such as forming a series of linear prisms including peaks on the first side of the sheeting and forming a plurality of base planes and plateaus wherein the plateaus have an elevation different that the base planes on a second side of the sheeting with the plateaus and base planes being oriented in a parallel to the peaks of the linear prisms.

#### ***Allowable Subject Matter***

Claims 2-6, 18, 24, 27, 28 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.



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The following is an examiner's statement of reasons for allowance:

Referring to Claims **2, 28** the prior art of record fails to show the optical film wherein a difference between the plateau and the base plane includes an amount greater than a wavelength of visible light.

Referring to Claim **3** the prior art of record fails to show the optical film wherein a difference in height between the plateau and the base plane includes an amount in the range of between about 0.2 and about 2 microns.

Referring to Claim **4** the prior art of record fails to show the optical film wherein the ratio of the area of the base planes to the area of the plateaus are in the range of between about one and about ten.

Referring to Claim **5** the prior art of record fails to show the optical film wherein the base planes have a width in the range of between about one and about three hundred microns.

Referring to Claim **6** the prior art of record fails to show the optical film wherein the plateaus planes have a width in the range of between about one and about fifty microns.

Referring to Claim **18** the prior art of record fails to show the linear optical elements are pitched in the range of between about 12.5 and about 6,5000 microns.

Referring to Claims **24, 27** the prior art of record fails to show the light collimating structure wherein the plateaus of the first collimating film and the plateaus of the second collimating film face each other.

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*Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark Tsidulko whose telephone number is (703)308-1326. The examiner can normally be reached on 8 - 5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on (703) 305-4939. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9318 for regular communications and (703)872-9319 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

M.T.  
November 17, 2003

  
Sandra O'Shea  
Supervisory Patent Examiner  
Technology Center 2800